

NEW UNITED STATES UTILITY PATENT APPLICATION  
under 37 C.F.R. 1.53(b)

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Atty. Docket No. 03797.86780

Director of the U.S. Patent and Trademark Office  
Box Patent Applications  
Washington, D.C. 20231

Enclosed herewith is a new patent application and the following papers:

First Named Inventor (or application identifier): Joseph M. Joy, et al.

Title of Invention: METHOD AND APPARATUS FOR EMULATING ETHERNET  
FUNCTIONALITY OVER A SERIAL BUS

1. ☒ Specification 22 pages (including spec, claims, abstract) / 25 claims / 2 independent
2. ☒ Declaration/Power of Attorney is:  
☒ attached in the regular manner.  
☐ NOT included, but deferred under 37 C.F.R. 1.53(f).
3. ☒ 9 Distinct sheets of ☐ Formal ☒ Informal Drawings
4. ☐ Preliminary Amendment.
5. ☐ Information Disclosure Statement  
☐ Form 1449  
☐ A copy of each cited prior art reference
6. ☒ Assignment with Cover Sheet.
7. ☐ Priority is hereby claimed under 35 U.S.C. 119(e) based upon the following application(s):

Country	Application Number	Date of Filing (day, month, year)

8. ☐ Priority document(s).
9. ☐ Statement Claiming Small Entity Status.
10. ☐ Microfiche Computer Program (Appendix).
11. ☐ Nucleotide and/or Amino Acid Sequence Submission.  
☐ Computer Readable Copy.  
☐ Paper Copy (identical to computer copy).  
☐ Statement verifying identity of above copies.

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12. Calculation of Fees:

FEES FOR	EXCESS CLAIMS	FEE	AMOUNT DUE
Basic Filing Fee (37 C.F.R., 1.16(a))			\$710.00
Total Claims in Excess of 20 (37 C.F.R., 1.16(c))	5	18.00	\$90.00
Independent Claims in Excess of 3 (37 C.F.R., 1.16(b))	5	80.00	\$0.00
Multiple Dependent Claims (37 C.F.R., 1.16(d))	0	130.00	\$0.00
Subtotal - Filing Fee Due			\$800.00
	REDUCE BY (%)   (\$)		
Reduction by 50%, if Small Entity (37 C.F.R., 1.9, 1.27, 1.28)	N/A		\$0.00
TOTAL FILING FEE DUE			\$800.00
Assignment Recordation Fee (if applicable) (37 C.F.R., 1.21(h))	0	40.00	\$40.00
GRAND TOTAL DUE			\$840.00

13. PAYMENT is:

☒ Please charge **\$840.00** for the Filing Fee and Assignment Recordation Fee to our Deposit Account No. 19-0733. However, if the calculated fee is not correct, you are authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 19-0733.


☐ not included, but deferred under 37 C.F.R. 1.53(f).

14. All correspondence for the attached application should be directed to:

Banner & Witcoff, Ltd.  
1001 G Street, N.W.  
Washington, D. C. 20001-4597  
Telephone: (202) 508-9100  
Facsimile: (202) 508-9299

15. Other: \_\_\_\_\_

Date: January 26, 2001

By:   
Bradley C. Wright  
Reg. No. 38,061

BCW/pp